

FACTS**WHAT DOES SUNSTONE MANAGEMENT INC. AND ITS AFFILIATED ENTITIES DO WITH YOUR PERSONAL INFORMATION?****Why?**

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

What?

The types of personal information we collect and share depends on the product or service you have with us. This information can include:

- Social Security Number
- Contact Information, such as telephone number, email and mailing address
- Investment Experience and Investment Profile
- Other information voluntarily provided to us when you make an investment or visit our website

When you are *no longer* our customer, we continue to share your information as described in this notice.

How?

All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the types of information and purposes for Sunstone Management and its affiliates chooses to share personal information; and whether you can limit the sharing.

Reasons we can share your personal information	Does Sunstone Management share?	Can you limit this sharing?
For our everyday business purposes – such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, report to credit bureaus	YES	NO
For Sunstone related marketing purposes – to offer our affiliated products and services to you	YES	NO
For joint marketing with other financial companies	NO	We don't share
For our affiliates' everyday business purposes - information about your transactions and experiences	NO	We don't share
For non-affiliates to market to you	NO	We don't share

Questions?

Call us at 949.771.1764 or via email at: contact@sunstoneinvestment.com

Who we are

Who is providing this notice?

Sunstone Management, Inc. on its own behalf and on behalf of its affiliated entities.

What we do

How does Sunstone Management protect my personal information?

To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.

We maintain safeguards that comply with federal standards to protect nonpublic personal information of our customers, including procedures to assure appropriate access to, and use of, information about our customers.

How does Sunstone Management collect my personal information?

We collect your personal information, for example, when you

- Visit our website
- Invest in one of our proprietary investment funds
- Attend one of our sponsored events
- Provide us your contact information
- Participate in voluntary surveys we may send out

We also collect your personal information from others, such as credit bureaus, affiliates, or other companies.

Why can't I limit all sharing?

Federal law gives you the right to limit only:

- Sharing for affiliates' everyday business purposes – information about your creditworthiness
- Affiliates from using your information to market to you
- Sharing for non-affiliates to market to you.

State laws and individual companies may give you additional rights to limit sharing.

Definitions

Affiliates

Companies related by common ownership or control. They can be financial and nonfinancial companies.

- ***Sunstone Management, Inc., Sunstone Advisors, LLC, Sunstone Trust Company, Sunstone Community Fund***

Non-affiliates

Companies not related by common ownership or control. They can be financial and nonfinancial companies.

- ***American Lending Center***

Joint Marketing

A formal agreement between nonaffiliated financial companies that together market financial products or services to you.

- ***Sunstone Management does not jointly market.***

Other important information

- You have the right to correct any inaccurate information we have collected about you.
- You have the right to know what Personal Information we maintain on you.
- You have the Right to Opt-Out of our sharing your Personal Information for unaffiliated marketing purposes.
- You have the Right to be Forgotten or Deleted (except for information required to be maintained by us to provide services to you or which is required to be maintained by regulation or law).